# Logical Relations for Encryption

Eijiro Sumii University of Tokyo Joint work with Benjamin Pierce, University of Pennsylvania

# Overview

#### Introduction

- The cryptographic  $\lambda$ -calculus
- Logical relations
- Application: protocol encoding
- Extensions
- Related work
- Conclusion

# Motivation

Two approaches to information hiding:
Encryption

- mainly studied in security systems
- Type abstraction
  - mainly studied in programming languages (polymorphism, modules, objects, etc.)

How are these related?

#### Results

# Adapting the theory of type abstraction for encryption

- Cryptographic λ-calculus +
- Logical relation of the polymorphic λcalculus

⇒ Method of proving secrecy in programs using encryption

## Example

#### A program p(i) consisting of

- a secret integer i and
- an interface function  $\lambda x$ . x mod 2

#### Example

A program p(i) consisting of

a secret integer i and
an interface function λx. x mod 2

Information hiding by type abstraction

p(i) = pack int, ⟨i, λx. x mod 2⟩
as ∃α. α × (α → int)

### Example

A program p(i) consisting of

- a secret integer i and
- an interface function  $\lambda x$ . x mod 2
- Information hiding by type abstraction  $p(i) = pack int, \langle i, \lambda x. x \mod 2 \rangle$  $as \exists \alpha. \alpha \times (\alpha \rightarrow int)$
- Information hiding by encryption
   p(i) = new k in ({i}<sub>k</sub>, λ{x}<sub>k</sub>. x mod 2)

# Overview

- Introduction
- The cryptographic  $\lambda$ -calculus
- Logical relations
- Application: protocol encoding
- Extensions
- Related work
- Conclusion

# The Cryptographic $\lambda$ -Calculus

Simply typed call-by-value λ-calculus + (perfect) cryptographic primitives

 $\begin{array}{cccc} e & ::= & \{e_1\}_{e2} & | & let \{x\}_{e1} = e_2 \text{ in } e_3 \text{ else } e_4 \\ & | & new x \text{ in } e & | & k & | & \dots \\ \tau & ::= & bits[\tau] & | & key[\tau] & | & \dots \end{array}$ 

# The Cryptographic $\lambda$ -Calculus

Simply typed call-by-value λ-calculus + (perfect) cryptographic primitives

 $e ::= \{e_1\}_{e_2}$  | let  $\{x\}_{e_1} = e_2$  in  $e_3$  else  $e_4$ | new x in e | k | ...  $\tau$  ::= bits[ $\tau$ ] | key[ $\tau$ ] | ... new x in  $e \rightarrow [k/x]e$  (k fresh) let  $\{x\}_{k1} = \{v\}_{k2}$  in  $e_1$  else  $e_2$  $\rightarrow$  [v/x]e<sub>1</sub> (if k<sub>1</sub> = k<sub>2</sub>) or e<sub>2</sub> (if k<sub>1</sub>  $\neq$  k<sub>2</sub>)

Secrecy  $\cong$  Non-Interference  $\cong$ Contextual Equivalence

[Q] How to state the (partial) secrecy of the value of i?

[A] By conditional <u>non-interference</u>: if  $i \equiv j \pmod{2}$ , then p(i) and p(j) are equivalent under any context

> "Outsiders cannot observe the difference of the secret"

# Overview

- Introduction
- The cryptographic  $\lambda$ -calculus
- Logical relations
- Application: protocol encoding
- Extensions
- Related work
- Conclusion

# Logical Relation

 [Q] How to prove contextual equivalence?
 [A] By a logical relation "~" between programs, defined by induction on their type

Main theorem:

 $e_1 \sim e_2 : \tau \implies e_1 \approx e_2 : \tau$ 

"related programs are contextually equivalent"

Logical Relation for Simple Types (standard)

- Integers are related iff they are equal i ~ j : int ⇔ i = j
- Functions are related iff they return related results when applied to related arguments

 $\begin{aligned} \mathbf{f} \sim \mathbf{g} : \tau_1 \rightarrow \tau_2 \iff \\ \mathbf{f} \mathbf{v} \sim \mathbf{g} \mathbf{w} : \tau_2 \text{ for any } \mathbf{v} \sim \mathbf{w} : \tau_1 \end{aligned}$   $\bullet \text{ Pairs are related iff their elements are related}$   $\begin{aligned} (\mathbf{v}_1, \mathbf{v}_2) \sim (\mathbf{w}_1, \mathbf{w}_2) : \tau_1 \times \tau_2 \iff \\ \mathbf{v}_1 \sim \mathbf{w}_1 : \tau_1 \text{ and } \mathbf{v}_2 \sim \mathbf{w}_2 : \tau_2 \end{aligned}$ 

# Logical Relation for Type Abstraction (also standard)

The <u>relation environment</u>  $\phi$  gives the relation  $\phi(\alpha)$  between values of each abstract type  $\alpha$ 

 $\phi \quad V_1 \sim V_2 : \alpha \iff (V_1, V_2) \in \phi(\alpha)$ 

# Logical Relation for Type Abstraction (also standard)

- The <u>relation environment</u>  $\varphi$  gives the relation  $\varphi(\alpha)$  between values of each abstract type  $\alpha$ 
  - $\phi \quad V_1 \sim V_2 : \alpha \iff (V_1, V_2) \in \phi(\alpha)$
  - $\varphi$  pack  $\sigma_1$ ,  $e_1$  as  $\exists \alpha. \tau$ 
    - ~ pack  $\sigma_2$ ,  $e_2$  as  $\exists \alpha. \tau : \exists \alpha. \tau \Leftrightarrow$
    - $\varphi, \alpha \mapsto r \quad e_1 \sim e_2 : \tau \text{ for some } r \subseteq \sigma_1 \times \sigma_2$

# Logical Relation for Type Abstraction (also standard)

- The <u>relation environment</u>  $\phi$  gives the relation  $\phi(\alpha)$  between values of each abstract type  $\alpha$ 
  - $\phi \quad v_1 \sim v_2 : \alpha \iff (v_1, v_2) \in \phi(\alpha)$
  - $\phi$  pack  $\sigma_1$ ,  $e_1$  as  $\exists \alpha. \tau$

~ pack  $\sigma_2$ ,  $e_2$  as  $\exists \alpha. \tau : \exists \alpha. \tau \Leftrightarrow$ 

 $\varphi, \alpha \mapsto r \quad e_1 \sim e_2 : \tau \text{ for some } r \subseteq \sigma_1 \times \sigma_2$ 

E.g., pack int,  $\langle 1, \lambda x. x \mod 2 \rangle$  as  $\exists \alpha.\alpha \times (\alpha \rightarrow int)$ and pack int,  $\langle 3, \lambda x. x \mod 2 \rangle$  as  $\exists \alpha.\alpha \times (\alpha \rightarrow int)$ can be related by taking  $\alpha \mapsto \{(1,3)\}$ 

# Logical Relation for Encryption (new!)

The relation environment φ gives the relation φ(k) between values <u>encrypted by</u> each secret key k

$$\phi \quad \{v_1\}_{k1} \sim \{v_2\}_{k2} : \text{bits}[\tau] \Leftrightarrow \\ (v_1, v_2) \in \phi(k) \text{ where } k = k1 = k2$$

# Logical Relation for Encryption (new!)

The relation environment φ gives the relation φ(k) between values <u>encrypted by</u> each secret key k

# Logical Relation for Encryption (new!)

The relation environment φ gives the relation φ(k) between values <u>encrypted by</u> each secret key k

- $\phi \quad \{v_1\}_{k1} \sim \{v_2\}_{k2} : \text{bits}[\tau] \Leftrightarrow \\ (v_1, v_2) \in \phi(k) \text{ where } k = k1 = k2$
- $\phi \quad \text{new } k \text{ in } e_1 \sim \text{new } k \text{ in } e_2 : \tau \Leftrightarrow$  $\phi, k \mapsto r \quad e_1 \sim e_2 : \tau \text{ for some } r$

E.g., new k in  $\langle \{1\}_k, \lambda\{x\}_k$ . x mod 2 and new k in  $\langle \{3\}_k, \lambda\{x\}_k$ . x mod 2 can be related by taking k  $\mapsto \{(1,3)\}$ 

# Overview

- Introduction
- The cryptographic  $\lambda$ -calculus
- Logical relations
- Application: protocol encoding
- Extensions
- Related work
- Conclusion

# **Application: Protocol Encoding**

Encode:

- Sending of a message by the message itself
- Receiving of a message by a function
- Network and attacker by a context

# **Application: Protocol Encoding**

Encode:

- Sending of a message by the message itself
- Receiving of a message by a function
- Network and attacker by a context

E.g., 1. 
$$A \rightarrow B \{i\}_k$$
  
2.  $B \rightarrow * i \mod 2$ 

•  $p = \text{new } k \text{ in } \langle \{i\}_k, \lambda\{x\}_k. x \text{ mod } 2 \rangle$ 

- Network(p) =  $\#_2(p) \#_1(p) \rightarrow^* i \mod 2$
- Attacker(p) = any context for p

# Examples

- Well-known attack on (a bad use of)
   Needham-Schroeder public-key protocol
- Correctness proof of (the same use of) "improved" Needham-Schroeder publickey protocol
- "Necessarily parallel" attack on ffgg protocol

# Overview

- Introduction
- The cryptographic  $\lambda$ -calculus
- Logical relations
- Application: protocol encoding
- Extensions
- Related work
- Conclusion

#### Extensions

Recursive functions/types

 for making the attackers Turing-complete
 \_ cf. [Pitts-98], [Crary-Harper], etc.

 State/linearity

 for encoding protocols more precisely
 \_ cf. [Pitts-Stark-98], [Bierman-Pitts-Russo-00]

# **Related Work**

- Logical relations
  - Relational parametricity [Reynolds-83]
  - Representation independence [Mitchell-91]
  - $\lambda$ -calculus with name generation [Stark-94]
- Protocol verification
  - Various logics, theorem proving, model checking, etc. [many!]
  - In particular, spi-calculus [Abadi-Gordon]

## Conclusion

- We have adapted the theory of type abstraction to encryption
- Can we do something in the other direction?
  - E.g., implement type abstraction by encryption
  - I.e., encode the polymorphic  $\lambda$ -calculus into the <u>untyped</u> cryptographic  $\lambda$ -calculus (while preserving contextual equivalence)
  - ⇒ Extend the scope of type abstraction from the statically typed world to the untyped world (such as open network)